

IF-4

CF-86

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick et al.
Application No.: 10/699,858 Confirmation No.: 5127
Filed : October 31, 2003
For : KEYBOARD TRADING SYSTEM
Group Art Unit : 2661

New York, New York
October 26, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR SECOND
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

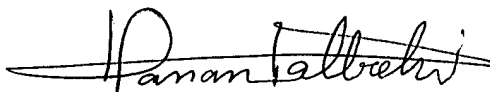
Sir:

Transmitted herewith is a Second Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

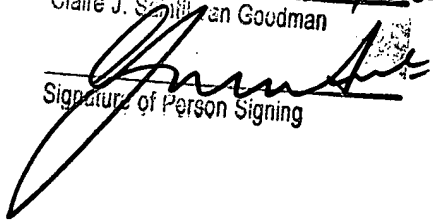
Respectfully submitted,



Hassan Albakri
(Limited Recognition)
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October 26, 2004
Claire J. Schmitt-Goodman


Signature of Person Signing



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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants wish to call the attention of the Examiner to the following document:

U.S. PATENT

Kramer 5,038,284 (08/06/91)

The aforementioned document is listed on the accompanying Form PTO-1449 (submitted in duplicate), and a copy of the document is enclosed herewith.

It is respectfully requested that this document be
(1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent

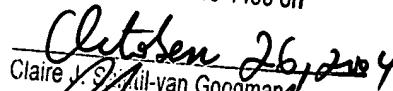
which may issue on this application. Applicants respectfully request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

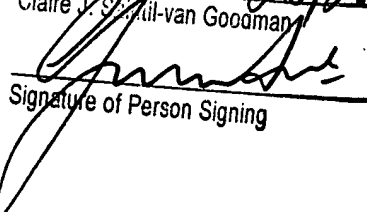
Respectfully submitted,



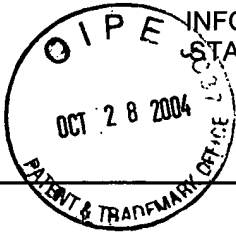
Hassan Albakri
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Claire J. Smith-van Goodman


Signature of Person Signing

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-86APPLICATION
NO.
10/699,858INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANTS
Howard W. Lutnick et al.CONFIRMATION
NO.
5127FILING DATE
October 31, 2003GROUP
2661

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,038,284	08/06/91	Kramer	364	408	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

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